



STATE OF TENNESSEE
DEPARTMENT OF FINANCIAL INSTITUTIONS
COMPLIANCE DIVISION
511 UNION STREET
SUITE 400, NASHVILLE CITY CENTER
NASHVILLE, TENNESSEE 37219

\$50.00 FEE

APPLICATION AND INVESTIGATION FEE TO REGISTER AS AN INDUSTRIAL LOAN &
THRIFT COMPANY

To the Commissioner of Financial Institutions:

I, _____
NAME AND TITLE OF OFFICER

NAME AND ADDRESS OF HOME OFFICE

hereby certify upon oath that pursuant to T.C.A. 45-5-202 the following entity makes application to operate as an industrial loan and thrift at the following location: .

NAME OF COMPANY OR BRANCH

ADDRESS

Opening date on or about _____
NAME AND DAYTIME TELEPHONE
NUMBER OF CONTACT PERSON

Form of business (Individual, Partnership, Corporation) _____

Names of Principals:

Business History:

Business Background of Principals (please describe in detail or attach resumes) :

Name of Tennessee licensed insurance agent: _____

Has any partner, stockholder, officer, director, or employee ever been convicted of a felony?

Yes _____ No _____ If yes, explain fully.

Under penalty of perjury, I hereby certify that all the information provided relative to this application is true and correct; further, that the following forms and fees are included herein:

1. Annual Inspection and Registration Form
2. Privilege Tax Form
3. Charter Documents
4. Financial Statement
5. All Fees.

Witness my signature on this _____ day

of _____

Signature of Officer, Partner or Proprietor

Subscribed and sworn to before me

on this _____ day of _____

Notary Public

My commission Expires; _____

MAKE CHECK PAYABLE TO THE DEPARTMENT OF FINANCIAL INSTITUTIONS



STATE OF TENNESSEE
DEPARTMENT OF FINANCIAL INSTITUTIONS
COMPLIANCE DIVISION

511 UNION STREET
SUITE 100, NASHVILLE CITY CENTER
NASHVILLE, TENNESSEE 37219

**BUSINESS TAXACT - STATE PRIVILEGE TAX REGISTRATION FEE RETURN DUE IN
ADVANCE UNDER CHAPTER #387**

T.C.A. §67-4-708 (5) & §67-4-709 (B)(5), §67-4-714 (C)

I, _____
Name & Title of Officer

Name & Address of Company or Branch

hereby certify upon oath that the said corporation, partnership, or individual, will operate as an industrial loan and thrift company, under Chapter 20, Tennessee Code Annotated, as amended, State of Tennessee, at the address set forth above.

There is hereby submitted a Tax Return and Minimum Tax Payment of \$300.00, due in advance, for the period ending December 31 next.

Witness my signature on this _____ day

of _____, _____.

Signature of Officer, Partner
or Proprietor

Subscribed and sworn to before me on this _____ day of _____, _____.

Notary Public

My commission Expires: _____

MAKE CHECK PAYABLE TO THE DEPARTMENT OF FINANCIAL INSTITUTIONS



\$325.00 FEE

STATE OF TENNESSEE
DEPARTMENT OF FINANCIAL INSTITUTIONS
COMPLIANCE DIVISION

ANNUAL INSPECTION FEE AND REGISTRATION FEE FOR INDUSTRIAL LOAN AND THRIFT
COMPANY APPLICANTS

To the Commissioner of Financial Institutions:

Name, address and daytime telephone number of contact person

I, _____
Name & Title of Officer

Name & Address of Home Office

hereby certify upon oath that the said corporation, partnership, or individual, in payment of the Annual Inspection Fee and Registration Fee will lawfully and fairly operate as an industrial loan and thrift company in Tennessee at the following locations:

Name of Company or Branch

Address

Zip Code

Federal Tax Identification # _____

Identify all parties owning over 5% interest in the applicant. (If a partnership, list each partner and their interest.)

Has any stockholder, officer or employee ever been convicted of a felony?

Yes _____ No _____ If yes, explain fully on a separate sheet.

No. Employees _____ No. Accounts _____ Accounts Rec. \$ _____

I certify that the tangible net worth of each office or place of business does now and will continue to exceed the \$25,000.00 minimum requirement of T.C.A. Section 45-5-201.

ATTACH CURRENT ANNUAL FINANCIAL STATEMENT (BALANCE SHEET AND STATEMENT OF INCOME AND EXPENSE AT A MINIMUM)

The sum of \$325.00 for the above named company in payment of fees required by T.C.A. Section 45-5-203 as amended, for the fiscal year July 1, _____ through June 30, _____ is hereby tendered.

Subscribed and sworn to before me

on this _____ day of _____, _____.

Witness my signature on this _____ day

of _____, _____.

My commission Expires; _____

Signature of Officer, Partner or Proprietor

Notary Public

MAKE CHECK PAYABLE TO THE DEPARTMENT OF FINANCIAL INSTITUTIONS

MAIL TO: COMPLIANCE DIVISION
SUITE 400, NASHVILLE CITY CENTER
511 UNION STREET
NASHVILLE, TN 37219

VI. BOND

INDUSTRIAL LOAN AND THRIFT

KNOW ALL PERSONS BY THESE PRESENTS, that _____
_____ of _____, State of _____
as PRINCIPAL and _____ of _____
_____ as SURETY are held and firmly bound unto the People of the State of Tennessee,
for the use of said State and of any person or persons who may have a cause of action against the above
principal under the provisions Industrial Loan and Thrift Act (TCA. § 45-5-101 *et seq.*), in the sum of
\$ _____, lawful money of the United States, to be paid to the said People of the State of
Tennessee or it's assigns, for payment to be well and truly made, we bind ourselves, jointly and severally,
firmly by these presents.

Sealed with our seals, and dated this _____ day of _____, 20_____.

WHEREAS, the above bounden principal has received, or is about to receive, a license from the
Commissioner, Financial Institutions of said state of Tennessee authorizing _____
to engage in the business of a industrial loan and thrift under the provisions of Tennessee Industrial Loan
and Thrift Act (TCA. § 45-5-101 *et seq.*)

The condition of this obligation is such, that if the said principal will conform to and comply with each
and every provision of Tennessee Industrial Loan and Thrift Act (TCA. § 45-5-101 *et seq.*), and all rules
and regulations lawfully promulgated thereunder by the Commissioner, Financial Institutions of the State
of Tennessee, and will pay to said State and to such person or persons, any and all monies that may
become due or owing to said State and to such person or persons from the obligor, principal, and by virtue
of the provisions of said Industrial Loan and Thrift Act (TCA. § 45-5-101 *et seq.*), then this obligation
shall be void, otherwise it is to remain in full force and effect. Regardless of number of years this bond
remains in effect, in no event shall the surety's liability exceed the penal sum of the bond as stated herein.

This bond shall be effective _____, 20_____ and shall be in force for the term ending
_____ 20_____. This bond may be continued in force for an additional term or
terms by suitable continuation certificates executed by the surety with the approval of the Commissioner,
pursuant to such regulations, as may hereafter be provided.

IN PRESENCE OF:

_____	_____ (L. S.)
_____	_____ (L. S.)
	PRINCIPAL
_____	_____ (L. S.)
	_____ (L. S.)
	SURETY